SEI New ways. New answers.®

REQUEST FOR CHANGE IN TERMS

409 Silverside Road, Suite 105
Wilmington, DE 19809
P: 866.792.5412
F: 302.791.5787
www.seicashaccess.com

Use of this form provided by The Bancorp Bank ("Bank") is required to request any of the change in terms to a securities-backed line of credit account ("Account") described below. Except as noted, this form may be completed and then submitted to the Bank by the Account owner ("Client") or the Client's designated financial professional, financial professional firm, advisor, agent, or broker (individually and collectively referred to as "Financial Professionals").

The requested change in terms will not be effective until the Bank confirms its approval of the requested change (s) and the Client has executed and returned all necessary documentation provided by the Bank.

NOTE: The individual signing this form must be an authorized signer on the Account or, if permitted, the designated Financial Professional assigned to the Account.

PART 1: Requestor

☐ Financial Professional ☐ Client

PART 2: Securities-Backed Line of Credit Account Information

Loan Account Title:	Account Number:

PART 3: Requested Change in Terms

Please complete all sections as applicable.

A. Loan Amount Change in Terms: ☐ **Increase Credit Limit to:**_____________ ☐ **Decrease Credit Limit to:**_____________

☐ Maximum Amount based on Eligible Collateral
☐ Not Applicable

Purpose of Increase/Use of Funds: ____

NOTE: If the intention is for the proceeds of the SEI Cash Access securities-backed line of credit to be used to pay off a loan at another financial institution and the proceeds of the existing loan were used to purchase securities, please contact the Bank's securities-backed line of credit team to discuss prior to submitting this request. The securities-backed line of credit is a non-purpose loan which dictates that the proceeds cannot be used for the purchase of securities.

B. Interest Rate Change in Terms:

☐ Change my existing Variable Rate Schedule to the current 3-Year Fixed-Rate Schedule
☐ Change my existing 3-Year Fixed-Rate Schedule to the current Variable-Rate Schedule
☐ Change my existing 3-Year Fixed-Rate Schedule to the current 3-Year Fixed-Rate Schedule
☐ Request Interest Rate Review (if added collateral pledge achieves a new pricing tier on the interest rate schedule)
☐ Request Custom Rate (for lines of credit of $1 million and above)
☐ Not Applicable

NOTE: A Conversion Fee may apply. Please contact us at 866.792.5412 for more information.

C. Collateral Change in Terms:

NOTE: A change in terms request may not be eligible if the registration of the pledged account and the titling of the securities-backed line of credit account are different.

☐ Additional account(s) to be pledged as collateral:

Account number(s): ____
Include any and all sub-accounts (if applicable)

☐ Remove pledged account(s) as collateral

Account number(s): ____

☐ Replace pledged account(s) as collateral

Account number(s) being added:____________________________________

Account number(s) being removed:__________________________________

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PART 4: Financial Professional Information

Financial Professional Name:	Financial Professional Firm Name:
Phone (and extension):	Email:

Part 5: Signature - *Required*

The individual signing below must be an Authorized Signer on the account listed in Part 2 or the Financial Professional assigned to the account.

Signature of Authorized Signer:	
Print Name:	Date: (mm/dd/yyyy)

Financial Professional Name:	Financial Professional Firm Name:
Last 5 digits of Financial Professional Business Builder ID:	Date: (mm/dd/yyyy)
Signature of Financial Professional:	